

1 **Regional analysis of climate variability at three time**
2 **scales and its effect on rainfed maize production in the**
3 **Upper Lerma River Basin, Mexico**

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14 **Abstract**

15 This study explored climate variability in the Upper Lerma River Basin, State of
16 Mexico, Mexico, at three timescales: annual (1960 to 2010), monthly (1980 to
17 2010) and seasonal (1980 to 2010). The effects of monthly and seasonal (2003 to
18 2010) variability on rainfed maize crops were also evaluated. The variables of
19 rainfall, maximum temperature, minimum temperature and number of hailstorms
20 were interpolated to generate monthly spatial-temporal series. Over a period of 51
21 years, the climate of the region shows an accumulative annual increase of 131
22 mm in rainfall and an increase of 0.8 and 0.74 °C in maximum and minimum
23 temperature, respectively. In conclusion, significant changes in the climate

24 variables were found at the three analyzed timescales. Seasonal climate changes
25 were found to coincide with the most vulnerable stage or flowering period of
26 maize; particularly, a shift in the rainfall pattern generates a water deficit that
27 impacts production yield. Hailstorms have increased in frequency, yet their phase
28 shift results in a lesser impact to maize during its most critical stage of
29 development.

30 Key words: climate variability, Lerma River basin, rainfed maize, seasonal
31 trend analysis, agricultural adaptation

32 1. Introduction

33 Agriculture is an important economic, social, and cultural activity, and it is also one
34 of the most determinant factors influencing land uses and transformations across
35 the surface of the Earth. Furthermore, agriculture has contributed towards global
36 warming due to the use of agrochemicals (O'Neill et al., 2005) and the resulting
37 effects of extensive land use changes that lead to modifications of the surface
38 energy and water balance (Foley et al., 2005). The scale and impact of agriculture
39 is evident, where in 2013, farm land for cultivating permanent crops represented
40 3,400 million hectares or 23 per cent of the world's surface area (FAOstat, 2013).

41 Although agricultural activity has contributed to climate change, it is in turn affected
42 by changes in rainfall patterns and temperatures, which are key influential factors
43 in agricultural production. In particular, extreme rainfall and temperature events
44 have a negative effect on crop yield ([Skoufias and Vinha, 2013](#)), and these may be
45 experienced as more frequent or intense hurricanes, flooding, hailstorms, drought
46 or frosts ([Loaiciga et al., 1996](#); [Reyer et al., 2013](#)). Since climate variability affects

47 crop yield in addition to the socio-economic processes involved in the distribution
48 and accessibility of food supplies, the stability of local food systems may be
49 compromised (Ericksen et al., 2009). However, not all of the effects of climate
50 change are easily predictable, quantifiable or able to be absolutely characterized
51 as negative. For example, increasing concentrations of carbon dioxide in the
52 atmosphere could stimulate photosynthesis (Cao and Woodward, 1998), have a
53 fertilizing effect ([Florides and Christodoulides, 2009](#)) or improve efficiency of water
54 usage (Keenan et al., 2013), depending on the crop.

55 While scenarios of climate change may alert to possible social instabilities, within
56 rural communities dominated by traditional means of agriculture, many peasants
57 appear to react satisfactorily to fluctuations in climate (Eakin, 2005; Mortimore and
58 Adams, 2001). Peasants have a varying ability, depending upon their experience,
59 to adapt and respond to climate change. Losses in productivity may be minimized
60 by the use of local, water deficit-tolerant varieties or management systems that
61 employ polycultures, opportune weeding or agroforestry, among other techniques
62 (Altieri and Nicholls, 2009). In fact, peasants have developed agricultural systems
63 over many years to be specifically adapted to local climate conditions, as many are
64 dependent upon subsistence farming and must achieve necessary production
65 levels to satisfy their needs in spite of other disadvantages, such as marginal land
66 holdings, unfavourable topography or climate variability (Andrews and Tommerup,
67 1995).

68 At the international level, maize (*Zea mays*) is the third most cultivated crop, after
69 wheat and rice (Asturias, 2004). Maize cultivation originates approximately 7,000
70 years ago in Mexico and Central America (FAO, 1993). Due to the resistance of

71 maize to variable climate conditions, it was traditionally grown alongside beans and
72 squashes, leading to the emergence of a longstanding agricultural practice that has
73 formed the basis of the Mexican diet. Currently, adaptations to regional climates
74 over the course of thousands of years have resulted in more than 62 documented
75 races of maize in Mexico, including 350 particular varieties that have been
76 conserved on small parcels of land in indigenous and rural communities. Along
77 with its cultural, ritualistic, symbolic, culinary and nutritional uses, maize continues
78 to form the basis of food diet for the majority of the Mexican population (Kato,
79 2009).

80 In 2013, according to the Agroalimentary and Fisheries Information Service (SIAP,
81 for its initials in Spanish) of Mexico (SIAP, 2014), 16 million hectares were
82 occupied by rainfed maize crops at the national level, representing 74 per cent of
83 the total area dedicated to maize production. For the same year in the State of
84 Mexico (located in the central portion of the country), 700,000 hectares of rainfed
85 maize were planted, encompassing 84 per cent of state's total maize crops.

86 In Mexico, extreme climate phenomena regularly affect the agricultural sector. For
87 example (Monterroso et al., 2014) found that from 1980 to 2000 more than 3000
88 floods, 450 landslides and 750 frosts or hailstorms were reported. Hailstorms are
89 extreme events that have a wide geographical reach and affect five out of every 10
90 Mexicans, mainly in the northern and central regions of Mexico (Monterroso and
91 Conde, 2015). In this regard, it has been observed that temporal fluctuations in
92 minimum temperature and rainfall coincide with hailstorms, although (Requejo et
93 al., 2012) conclude that regional analyses are important due to the diverse
94 topography and climatology of distinct geographical regions.

95 Several authors have recognized that in Mexico, rainfed maize crops experience a
96 greater vulnerability to variations in climate (Conde et al., 2006; Eakin, 2005).
97 Variations in temperature, rainfall and hailstorms affect crops differentially,
98 depending on the intensity of the event and their time of occurrence with respect to
99 the phenological stages of the crop. In general, it has been observed that the
100 stages of plant growth and flowering are the most vulnerable to climate events,
101 which may lead to decreases in yield. Thus, the objective of this study was to
102 analyze climate variability and seasonal changes in order to detect spatial-temporal
103 trends in rainfall (P), maximum temperature (T_{max}), minimum temperature (T_{min})
104 and number of hailstorms (G) at different time scales and potential effects on the
105 yield of the rainfed maize crops farmed by small-scale peasants in the Upper
106 Lerma River Basin (ULRB) in the State of Mexico. Finally, these analyses are
107 performed with the goal of generating useful information that could aid peasant
108 farmers and improve their capacity to adapt to scenarios of climate variability.

109 2. Methodology

110 2.1. Study Area

111 The Lerma River Basin is located in the central-western region of Mexico and has
112 an area of 54,450 km², partially spanning the following five states: Guanajuato,
113 Jalisco, State of Mexico, Michoacán and Querétaro. For the goals of the present
114 study, only the Lerma-State of Mexico subregion was considered, referred to as
115 the Upper Lerma River Basin (ULRB), which corresponds to an area of 5,146 km²
116 (Figure 1), and encompassing a total of 27 municipalities and partially intersecting
117 another 15 municipalities (INE, 2006). For subsequent analyses, 32 of the
118 municipalities were considered in order to achieve an overall scenario that would

119 be regionally significant, which included more than 80 per cent of the ULRB
120 territory (10 municipalities were excluded from the analysis because less than 15%
121 of their total area fell within the ULRB). The main hydroclimatological conditions of
122 the watershed are characterized by: (i) an average annual rainfall of 903 mm; (ii)
123 an average annual reference evapotranspiration of 1630 mm; (iii) temperate rainy,
124 semi-cold temperate rainy and cold climates, depending upon altitude; (iv) an
125 average annual temperature of 13°C and (v) a natural annual run-off of 1,103 hm³
126 (Díaz-Delgado et al., 2014). The Upper Lerma River Basin forms part of the
127 Meridional Plateau and experiences periodic droughts, flooding, forest fires, frosts
128 and hailstorms (Gómez and Esquivel, 2002). It is noteworthy that hailstorms
129 coincide with maize crop development (July–October).

130 2.2. Rainfed Maize Cultivation in the Upper Lerma River Basin

131 Within the ULRB, maize is the second most produced crop in terms of quantity.
132 This region has the largest number of native maize races at the national level, and
133 several of the following (listed by their names in Spanish) stand out due to their
134 preferential selection by peasant farmers: Cacahuacintle, Cónico, Chalqueño, and
135 Palomero Toluqueño (Romero-Contreras et al., 2006) (Table 1).

136 These varieties have low photothermal requirements in terms of solar radiation and
137 temperature. This region also has a high level of humidity for an extended period of
138 time, lending to a more or less secure growing season (Gómez and Esquivel,
139 2002). According to data from SIAP, an average of 210,000 ha of rainfed maize
140 crops are cultivated inside the ULRB. Maize cultivation is significant in this region

141 since its average yield is 4 ton/ha, which is 2 ton/ha higher than the national
142 average (SIAP, 2014).

143 The standard practice of rainfed maize cultivation revolves around a spring-
144 summer cycle and is the prevalent system used by peasants for subsistence
145 farming. Peasants harvest maize to make quality tortillas, which is a practice that
146 responds to their cultural traditions of food production rather than an exclusively
147 focusing on obtaining high yields (Eakin, 2005; Lerner et al., 2013). Few peasants
148 sell their crop surpluses (Eakin et al., 2014). The initial phase of the production
149 involves a fallow period, followed by the tilling and levelling of the terrain, which
150 usually occurs from January to April. Maize is sown from May to June, depending
151 on the variety, and requires a temperature above 10°C. Approximately 12 kg of
152 seeds/ha are sown, usually at a root depth of 9 cm, although the maximum depth
153 can range up to 46 to 60 cm (Flores and Ruiz, 1998).

154 The germination phase requires temperatures of 15 to 20 °C. At this stage, plots
155 are weeded during the first 40 days of development in order to avoid competition
156 with weeds. Occasionally, crops are fumigated in the month of June to prevent
157 pests. The first maize cobs begin to appear in August. In September, the maize
158 plants start to dry out. If crops were planted during the first week of May, these are
159 harvested by the end of October. However, periods of harvest also vary according
160 to the variety planted. The grain is usually stored on the cob in a dry and clean hut
161 (troje, in Spanish). The dry stalks, leaves and cobs are an important resource that
162 can be commercialized or used by peasants for other needs (Pérez, 2006).

163 Maize plants generally follow the same pattern of growth, although the duration of
164 the phenological stages may vary depending on the hybrid, location, season and

165 date of planting. Overall, the growth of maize can be divided into five stages:
166 emergence of plants, vegetative growth, reproductive (flowering and fertilization),
167 development of grains and maturity. In Table 2, the stages of maize growth are
168 summarized overall without specific information on type or variety (Jugenheimer,
169 1990).

170 Environmental heterogeneity, geographic isolation and recombination between
171 maize species or neighbouring populations, as well as the selective cropping
172 performed by peasants based on yield or culinary preferences, have all contributed
173 to the diversity and the genetic improvements of many maize varieties and their *in*
174 *situ* conservation (Eagles and Lothrop, 1994; Vasal et al., 1995). It is notable that
175 indigenous pre-Hispanic populations were the first groups to begin this process of
176 domestication and selective maize cropping, contributing to the rise of this tradition
177 and new varieties of maize.

178 2.3. Databases for Climate Analysis

179 The CLimate COMputing project database (CLICOM), managed by the National
180 Water Commission (CONAGUA, for its initials in Spanish) on behalf of the National
181 Meteorological Service (SMN, for its initials in Spanish), contains daily data records
182 from currently and previously active meteorological stations across the country.
183 Data was obtained for 812 meteorological stations located within the region
184 delimited by 18°24' and 20°52' N and 101°25' and 98°35' W. Based on the
185 recommendation of the World Meteorological Organization (WMO), a minimum
186 period of 30 years was considered, and only data from stations with continuous
187 records of more than 30 years was used. From each station, the averages of the

188 following monthly measurements were calculated: rainfall (P), maximum
189 temperature (T_{max}), minimum temperature (T_{min}) and number of hailstorms (G).
190 Finally, these averages were interpolated to generate monthly spatial-temporal
191 series at 0.5 km spatial resolution using the distance-weighted average function in
192 the IDRISI Selva software (Eastman, 2012) with a distance weight exponent of two
193 and a search radius of six meteorological stations. This method has been widely
194 use for the interpolation of climate data and has shown good results, as it
195 preserves the values of the sample data and adequately reflect the regional
196 variability in the interpolated series (Vicente Serrano et al., 2003), especially for
197 areas with a high density of climate stations, such as our area of study.

198 Additionally, a database was used from the Agroalimentary and Fisheries
199 Information Service (SIAP) of the Secretary of Agriculture, Livestock, Rural
200 Development, Fishing and Food (SAGARPA) to obtain relevant data for the study
201 area on rainfed maize production, yield and losses per municipality per agricultural
202 year (2003 to 2010).

203 *2.4. Climate Data Analysis*

204 To determine the effect of climate, one useful technique involves the identification
205 of anomalies in climate variables; these are then compared to the available data on
206 rainfed crop yields in order to consider any potential connections. First, this
207 process consists of defining the base scenario as a function of the average climate
208 behaviour of the region, where in this case, time series were created with the
209 variables of P , T_{max} , T_{min} and G in order to observe their trends over time. It may be
210 assumed that the interquartile range of the data used to construct the time series
211 represents normal variability. Accordingly, the resulting interquartile behaviour is

212 expected to represent normal conditions of climate variability. For comparison
213 purposes, records of years that show trends in the climate variables outside of their
214 normal range (± 2 standard deviations) should be analyzed in greater detail, with
215 the ultimate goal of determining their effect on crop yields.

216 To achieve this, for the present case study, the water and temperature
217 requirements of maize during different phenological stages were related to climate
218 conditions, in addition to the occurrence of hailstorms and the potential damages to
219 crops (Table 2).

220 For the analysis of the time series, several statistical techniques from the Earth
221 Trends Modeller (ETM) module in the IDRISI Selva software were utilized
222 (Eastman, 2012) and were applied to achieve the following goals:

223 (1) To examine the variability in annual time series for climate variables P , T_{max} and
224 T_{min} .

225 In order to assess the regional annual climate trends for the 1960–2010 period
226 across the arable land area cultivated with maize in the ULRB at elevations of 1900
227 to 2800 masl (See Figure 1), the non-parametric Theil-Sen test was used (Sen,
228 1968; [Theil, 1950](#)). This test for determines the average gradient of the lineal
229 regressions of P , T_{max} and T_{min} in order to quantify an increase or decrease per unit
230 of time for a given series, as designated by the following equation:

$$\beta = \text{Median} [x_j - x_i / j - i] \quad \text{for all } i < j \quad (1)$$

231 where X_i and X_j represent the values of the time series for years i and j , and β is
232 the magnitude of the gradient.

233 (2) To observe regional and local monthly trends for P , T_{max} , T_{min} and G over the
 234 1980–2010 period.

235 This analysis was performed since recent studies have demonstrated that the
 236 effects of climate change has been more pronounced for this period (IPCC, 2014).

237 Equation 1 was calculated again for the given period, in addition to the non-
 238 parametric Mann-Kendall test (equations 2, 3, 4 and 5) (Kendall, 1975; Mann,
 239 1945) in order to identify spatial-temporal trends per municipality in P , T_{max} , T_{min}
 240 and G :

$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \text{sgn}(X_j - X_i) \quad (2)$$

$$\text{sgn}(X_j - X_i) = \begin{cases} +1 & \text{if } (X_j - X_i) > 0 \\ 0 & \text{if } (X_j - X_i) = 0 \\ -1 & \text{if } (X_j - X_i) < 0 \end{cases} \quad (3)$$

$$\text{Var}(S) = 1/8 \left[n(n-1)(2n+5) - \sum_{p=1}^k t_k(t_k-1)(2t_k+5) \right] \quad (4)$$

$$Z = \begin{cases} S - 1/\sqrt{\text{Var}(S)} & \text{if } S > 0 \\ 0 & \text{if } S = 0 \\ S + 1/\sqrt{\text{Var}(S)} & \text{if } S < 0 \end{cases} \quad (5)$$

243 where X_i and X_j are the sequences of values of the time series for years i and j , n
 244 the longitude of the time series and t_k the number of ties of extent i . Finally, to
 245 determine if trends were significant, the Kendall's rank correlation coefficient, τ ,
 246 was used:

$$\tau = S / (n(n-1)/2) \quad (6)$$

247 This indicator has a value ranging from -1 to 1, indicating an increasing or
 248 decreasing trend. A value of 1 indicates a trend that continually increases over time

249 and does not fluctuate or diminish, while the opposite, a decreasing trend, is
250 indicated as the value approaches -1. A value of 0 indicates an inconsistent trend
251 ([Kendall, 1938](#)).

252 (3) To determine the local seasonal behaviour of P , T_{max} , T_{min} and G and compare
253 their coincidence with maize crop losses.

254 In order to detect seasonal variability, a Seasonal Trend Analysis (STA) was
255 performed (Eastman et al., 2009). This analysis facilitates the evaluation of
256 seasonal trends by using the images of the time series. A harmonic regression is
257 performed according to the following equation:

$$y = \alpha_0 + \sum_{n=1}^{n=2} \{a_n \sin(2\pi nt/T) + b_n \cos(2\pi nt/T)\} + e \quad (7)$$

258 where t is time, T the longitude of the time series, n the harmonic (the number of
259 harmonics oscillates between 1 and $T/2$), e the term of error and α_0 the average of
260 the series. Finally, by means of a reordination of the terms after calculation,
261 ignoring the rate of error, the seasonal curve can also be expressed by the
262 following:

$$y = \alpha_0 + \sum_{n=1}^{n=2} \alpha_n \sin(2\pi nt/T + \varphi_n) \quad (8)$$

263 where α_n is the amplitude and φ_n the phase angle.

264 Once the seasonal analysis was completed, the municipalities with the greatest
265 annual crop losses during the 2003–2010 period were identified in order to
266 compare these with changes in P , T_{max} , T_{min} and G and their incidence during the
267 different phenological stages of maize in order to identify if changes in the
268 seasonality were responsible for crop losses.

269 3. Results

270 3.1. Analysis of Annual Climate Variability for 1960–2010

271 The annual analysis spanned a period of 51 years, taking into account three
272 climate variables: rainfall (P , in mm) and minimum and maximum temperature (T_{max}
273 and T_{min} , in °C). The number of hailstorms was not considered since information on
274 their occurrence is not available before 1980. In Figure 2, the results of the yearly
275 profiles are presented along with the Theil-Sen trend line.

276 In the case of P , an anomaly was presented in 1982, representing the lowest level
277 of P at 233 mm below the calculated average of 684 mm for the entire study
278 period. Meanwhile, the highest level of P occurred in 2010 at 1223 mm, or 306 mm
279 above the annual average. The Theil-Sen trend line shows that the average of P in
280 the region has increased from 851 to 982 mm, or by 131 mm, during the last 51
281 years. The result of the Mann-Kendall statistical test indicated that values for P
282 tended towards 1, signifying that within the study area a significant increase in P
283 has occurred ($p < 0.05$), with an annual average rate of change of up to 2.568 mm
284 per year.

285 In regards to interannual variation, the lowest average T_{min} was recorded in 1974 at
286 5.4°C, and the highest average T_{min} occurred in 2010 at 7°C. The time series
287 presented a trend of yearly increase in average T_{min} from 5.76 to 6.50 °C over the
288 course of 51 years, at a rate of change of 0.015°C per year and an overall increase
289 of 0.74 °C. With respect to average T_{max} , 1976 was registered as the coolest year
290 at 20°C, while 2010 was the hottest at 22.6°C, or 1.4°C higher than the overall
291 average of the time series. Similar to P and average T_{min} , the T_{max} also presented
292 an increasing trend, as evident in the Theil-Sen trend line, indicating an increase of

293 0.8°C on average over the course of the last 51 years. The non-parametric Mann-
294 Kendall statistical test was also calculated for T_{min} and T_{max} and tended towards 1
295 for nearly the entire study area ($p < 0.05$). The variable with greatest significance
296 was T_{max} , presenting a significant increase ($p < 0.05$) in the southern and northern
297 regions within the altitudinal range of 1900–2800 masl. Since 1980, an increasing
298 trend exists for all three climate variables, which is in agreement with IPCC reports
299 (IPCC, 2014) that identify similar increases and attribute these mainly to the rise in
300 fossil fuel consumption. This fact prompted a more detailed analysis of the climate
301 variables from 1980–2010 to examine monthly trends.

302 3.2. Analysis of Monthly Climate Variability for 1980-2010

303 Extreme variations in the monthly behaviour of the climate variables within the
304 study area were found and appear to be associated with the climatic phenomena of
305 El Niño and La Niña, as observed in Figure 3.

306 Worldwide, the most documented El Niño events in terms of intensity and
307 magnitude correspond to the episodes of 1982-1983 and 1997-1998 (Timmermann
308 et al., 1999), which had severe socioeconomic impacts and affected vulnerable
309 populations and numerous other sectors. The relationship of these events with the
310 analysed climate variables may be observed in the temporal climate profiles
311 (Figure 3). With respect to losses in maize production, after 2004 the records are
312 expressed in terms of percentages.

313 The occurrence of extreme events corresponds with the lines that lie furthest from
314 the average trend lines of the series. In 1982 and 1983, the lowest P values may
315 be observed, with a low of -90 mm for September 1982. Meanwhile, the T_{max}

316 occurred in May 1983, which was the second highest temperature recorded for the
317 entire 51-year period, or 2.25 °C higher than the average T_{max} for the month of
318 May. However, the greatest anomalies were observed for 1997 and 1998,
319 corresponding with the El Niño event of greatest recorded impact worldwide. The
320 highest peak in T_{max} anomalies is presented in May 1998, at 3°C higher than the
321 average temperature for this same year, in addition to the highest level of P at 150
322 mm.

323 In comparing the monthly trends of the climate variables with data from SIAP on
324 damaged crops, in 2005 almost 20 per cent of the harvested area of the ULRB was
325 lost. This year corresponds with the El Niño phenomena and also the second driest
326 April recorded at the national level since 1941 (CONAGUA, 2005). During this
327 same year, due to drought, the Secretary of Agriculture, Livestock, Rural
328 Development, Fisheries and Food (SAGARPA, for its initials in Spanish) launched
329 a Contingent Climate Declaration in order to guide the operation of the Fund for
330 Attending to Rural Populations Affected by Climate Contingencies (FAPRACC, for
331 its initials in Spanish) in several municipalities of the State of Mexico (DOF, 2005).
332 Furthermore, in Figure 4 the results for coefficient τ from Kendall's rank correlation
333 may be observed, and it is notable that the trend values for all variables, whether
334 positive or negative, were significant ($p < 0.05$) for the area of study. In this figure,
335 T_{max} , and T_{min} are observed to have similar behaviour in the ULRB, demonstrating
336 an increasing trend over time. The number of hailstorms (G) has tended to
337 increase in the south-western portion of the study area and decrease in the north-
338 western municipalities. This behaviour could be favourable for seasonal cropping,
339 given that the municipalities where G have diminished also have a greater arable

340 crop area. *P* has also tended to increase in the majority of municipalities within the
341 study area, with the exception of Temascalcingo, Acambay, El Oro, San José del
342 Rincón, and San Felipe del Progreso, located in the north-western portion of the
343 study area. It is important to point out that although rainfall levels have been
344 increasing in the majority of municipalities, this is not necessarily favourable for
345 rainfed maize crops, as their success depends not only on quantity of rain but also
346 the presence of rain during critical stages of growth. In light of this scenario, an
347 additional seasonal analysis was carried out to establish if these changes have
348 been beneficial or detrimental to peasants.

349 3.3 Seasonal Analysis

350 The final portion of the analysis had the goal of detecting possible seasonal trends
351 in the climate variables and their effect on the development and production of
352 maize during different phenological stages, which have varying climate and
353 temperature requirements. First, seasonal curves were modelled for each year,
354 and then trends in variability were compared with the regional annual averages.
355 Images were generated to display the slope of the amplitudes for the stages,
356 including superimposed trend lines to facilitate comparison between seasons. For
357 this type of analysis, Eastman (2009) recommends that 15–25 per cent of the
358 extreme absolute values (both positive and negative) be used for comparison. For
359 this reason, a period of 7 years was selected at the beginning and end of the time
360 series, representing the extreme values of the analysis period.

361 The differences in the monthly averages at the beginning and end of the study
362 period (Figure 5, solid lines) show a scenario of climate variability that could

363 directly influence maize production. A phase change is observed in peak rainfall,
364 shifting from the month of August to September. A difference in amplitude is also
365 evident, corresponding to a P increase of nearly 50 mm. A similar behaviour is
366 observed for hailstorms, where a slight phase shift and increase in amplitude lead
367 to a higher frequency and intensity of storms during July and August.

368 The T_{max} also shows a significant change, although more in amplitude than in
369 phase shift. An increase of approximately 1°C throughout the year is observed,
370 with the exception of November and December.

371 In Figure 5, the potential effects of climate variations on each of the phenological
372 stages of maize can be visualized. Undoubtedly, the most critical stage occurs
373 three weeks before flowering, as a sufficient water supply is necessary for
374 development. A P level of approximately 300 mm from May to September is ideal,
375 and half of this P should occur from June to August (Llanos, 1984). In the 1980-
376 1987 period a greater number of G occurred in July, showing the occurrence of a
377 phase change in comparison to the 2003–2010 period, when more G occurred in
378 August after the end of the flowering stage. In this sense, the probability of G
379 damaging maize plants due to defoliation actually decreases.

380 Temperature and its fluctuation also greatly influence maize plants during their
381 development. During the emergence stage, maximum daily fluctuations in ambient
382 temperature should not exceed $\pm 7^{\circ}\text{C}$. The isothermal limit for maize cultivation is
383 18°C , while the optimal temperature is 22°C . However, high temperatures at the
384 end of July and August could wilt leaf tissues or cause low production of grains. In
385 addition, a marked decrease in air or ground temperature, especially towards the
386 end of the development cycle, delays the maturation of the grains. Finally,

387 increases in humidity may also have adverse effects and foster diseases. Yet
388 overall, dry periods of higher than average temperatures result in lower maize
389 yields, especially when these conditions are experienced during the flowering
390 stage.

391 Finally, to exemplify the effects of the seasonal variability of P , T_{max} , T_{min} and G on
392 maize crops, a case study of the municipality of Almoloya del Juárez is presented
393 (Figure 5, dashed lines). For this analysis, annual data for one year with an
394 extensive crop loss area (2005) and for another year without crop loss (2007) were
395 compared. For 2005, a surface area of 20,747 ha of rainfed maize was cultivated,
396 and 9,564 ha were reported as damaged, demonstrating direct and negative
397 repercussions due to climate (Figure 5, bars graph). According to the North
398 American drought monitor of the National Meteorological Service of Mexico (SMN,
399 for its initials in Spanish), the month of April 2005 was reported as the second
400 driest at the national level since 1941 (CONAGUA, 2005). On the other hand, in
401 the following month of May, rainfall was geographically concentrated in the
402 northern regions of the country, negatively impacting the initial stages of the
403 growing season for the study area. The rainy season also presented a delay of
404 three to four weeks, and consequently, maize production for that year was reported
405 as severely damaged by the local government, with the State of Mexico being the
406 most affected nationwide.

407 Essentially, in 2005 the hydric conditions necessary for the emergence and
408 vegetative growth stages of maize were absent, and expected production levels
409 were not met. Additionally, the T_{max} was higher with two significant peaks in May
410 and July, although low T_{min} had also been registered in April in addition to several

411 atypical *G*. In comparison, humidity conditions in 2007 were favourable during the
412 entire agricultural cycle. The greatest number of *G* occurred in July and
413 September, although this did not appear to affect maize yields. Therefore, it may
414 be proposed that for the maize varieties cultivated in the study area, production is
415 mainly affected by seasonal fluctuations in *P*, as demonstrated in 2005.

416 4. Conclusions

417 The annual, monthly and seasonal analyses of rainfall, number of hailstorms and
418 minimum and maximum temperatures in the Upper Lerma River Basin at
419 elevations of 1900 to 2800 masl allowed for the visualization of regional climate
420 variability in order to assess its effects on the production of rainfed maize. As
421 observed along a yearly timescale, maximum and minimum temperatures tended
422 to increase, following global trends, and interannual variability in temperature and
423 rainfall was highly influenced by El Niño and La Niña events. However, at a
424 monthly timeframe, these events do not predictably influence climate variables but
425 rather vary in duration and intensity. This is observed for the El Niño events of
426 1982-1983, 1997-1998 and 2005, where the last event damaged 20 per cent of the
427 area cultivated with rainfed maize, as reported by SIAP. Spatially, the maximum
428 temperature, minimum temperature and rainfall levels have tended to increase
429 throughout the larger portion of the arable area of the Upper Lerma River Basin,
430 while in contrast, hailstorms have tended to decrease, overall.

431 According to the seasonal analysis, the monthly averages for maximum and
432 minimum temperatures have tended to uniformly increase over the course of the
433 time series. Rainfall has also tended to increase and has been increasingly
434 concentrated in the June–September period, although it was previously more

435 uniformly distributed throughout the May–September period. In comparing these
436 changes with the phenological stages of maize, the hydric and thermal
437 requirements of plants may no longer be fulfilled during critical stages of
438 development. However, in spite of severe climate events, the Agroalimentary and
439 Fisheries Information Service (SIAP) has reported that, overall, production levels in
440 recent years have not been significantly affected. This may be attributed to the
441 ability of peasants to adapt to changing circumstances.

442 Finally, it may be highlighted that in Mexico and in particular for the study area,
443 greater government investment and more in-depth research are required to explore
444 possible means of adaptation that would assist local peasants facing climate
445 variability and change. Empirical observations have confirmed that peasants in the
446 study region are equipped with extensive knowledge and a series of farming
447 practices that may be reinforced with scientific knowledge, therefore increasing the
448 viability of crops under scenarios of climate change. In addition to adaptation
449 measures, a successful and consistent maize production is also dependent on the
450 functioning of the greater social-agricultural-economic system, which given its
451 complexity, requires interdisciplinary studies to evaluate in greater depth the
452 indirect effects of climate variability and change. Furthermore, other interconnected
453 climate, social or environmental changes may also affect populations.

454 Additional studies are also recommended for building climate prediction models in
455 the medium-term that would allow for the ideal vegetative cycles to be anticipated.
456 In this scenario, recommendations may be made on where and when to plant
457 certain maize varieties. Such an objective would not only highlight the
458 necessary conditions for obtaining a good harvest, but would also involve a

459 reframing of social values pertaining to rural agriculture. In this sense, the goals of
460 safekeeping agricultural knowledge and practices and the promotion of
461 custodianship must be prioritized in order to conserve the genetic biodiversity of
462 native maize. Such goals would ultimately contribute towards food sovereignty.
463 The dissemination of this knowledge at a larger scale, given the unfortunate
464 occurrence of extreme climate events and their negative consequences for
465 peasants, can be framed as an additional component of the Agrarian Question
466 (Akram-Lodhi and Kay, 2010a, 2010b), which has re-emerged at the beginning of
467 this century and is defined by the persistence of peasants and practices
468 concerning seasonal and rainfed crops despite lack of support or the intervention
469 of public policies.

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605 interpolation methods in the middle Ebro Valley (Spain): application to
606 annual precipitation and temperature.

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615 Figure captions

616 Figure 1. Location of the Upper Lerma River Basin (ULRB) and the 32
617 municipalities that compose more than 80 per cent of the study area (1900–2800
618 masl)

619 Figure 2. Annual trends for average rainfall (a), minimum and maximum
620 temperatures (b) for the 1960–2010 period in the ULRB (1900–2800 masl). β
621 =slope

622 Figure 3. Seasonal variability and percentage of damaged maize crop area for
623 municipalities located in the ULRB (1900–2800 masl).

624 Figure 4. Kendall's rank correlation coefficient, τ , for rainfall, number of hailstorms
625 and minimum and maximum temperature (no trend if $\tau=0$, trend if $\tau >0$ or $\tau <0$
626 [$p < 0.05$]).

627 Figure 5. Seasonal analysis for rainfall, number of hailstorms and minimum and
628 maximum temperature in the ULRB (1900 to 2800 masl) (solid lines). The numbers
629 correspond to the cultivation and phenological stages of maize; 1: preparation of
630 the field, 2: sowing, 3: emergence, 4: vegetative growth, 5: flowering, 6: fertility, 7:
631 growth of grains and maturity and 8: harvest.

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639 Table Captions

640 Table 1. General characteristics of the principal maize varieties planted in the State
641 of Mexico.

642 Table 2. Stages of maize (*Zea mays*) growth, considering variations in
643 development time for different varieties. Source: (Jugenheimer, 1990).

644

645

Table1

[Click here to download Tables: Tab1.docx](#)

Type	Elevation (masl)	Characteristics	Location
Palomero toluqueño	2200-2800	<p>Utilized mainly for re-planting when seeds have been lost due to environmental damages or pests.</p> <p>Resistant to cold, drought, and pests.</p> <p>Early maturation (Romero-Contreras et al. 2006).</p> <p>Useful for developing genotypes of early-maturing varieties of rainfed maize (González Huerta et al. 2007).</p> <p>Characterized by the small dimensions of its cob and grain.</p> <p>Used to obtain oil and for bird and livestock feed and popcorn (Wellhausen et al. 1951).</p>	Toluca and Atacomulco
Cacahuacintle	2200-2800	<p>Varying yield from 2.5 to 6.5 ton/ha (Domínguez López et al. 2006).</p> <p>Dependent on a semi-cold microclimate, high altitude, and well-filtered soils.</p> <p>Susceptible to root lodging and Fusarium ear rot (González Huerta et al. 2007).</p>	Calimaya, Toluca, Atacomulco, Capultitlán, Metepec, San Mateo Atenco, and Tenango del Valle (González Huerta et al. 2007)
Cónico	2200-2800	<p>Great tolerance to root lodging and ear rot.</p> <p>High yield.</p> <p>Useful for developing genotypes of early-maturing varieties of rainfed maize (González Huerta et al. 2007).</p>	Ixtlahuaca and Metepec. High valleys of the central mesa.
Chalqueño	1900-2300	<p>Diverse coloured grains: white, cream, yellow, red, blue, or black.</p> <p>Tolerant to drought and low temperature.</p> <p>Susceptible to frosts.</p> <p>Vegetative period of 5 to 6 months.</p> <p>High yield.</p>	High central valleys of Mexico.

Table2

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Stages	No. Days	No. Days Accumulated	Characteristics
Emergence	9	9	Coleoptile breaks through the soil.
Vegetative growth	49	55	Development of leaves and longitudinal growth of main stalk. Forms the most important period for the plant and subsequently influences the harvest. Most critical point occurs three weeks prior to flowering.
Reproductive	14	69	Male flowers and pollen produced. Presence of visible stigma.
Development of grains	11	80	Grains begin to reach final size.
Maturity-senescence	15	95	Hard and shiny grains. Stalks begin to break.

Figure1
[Click here to download high resolution image](#)

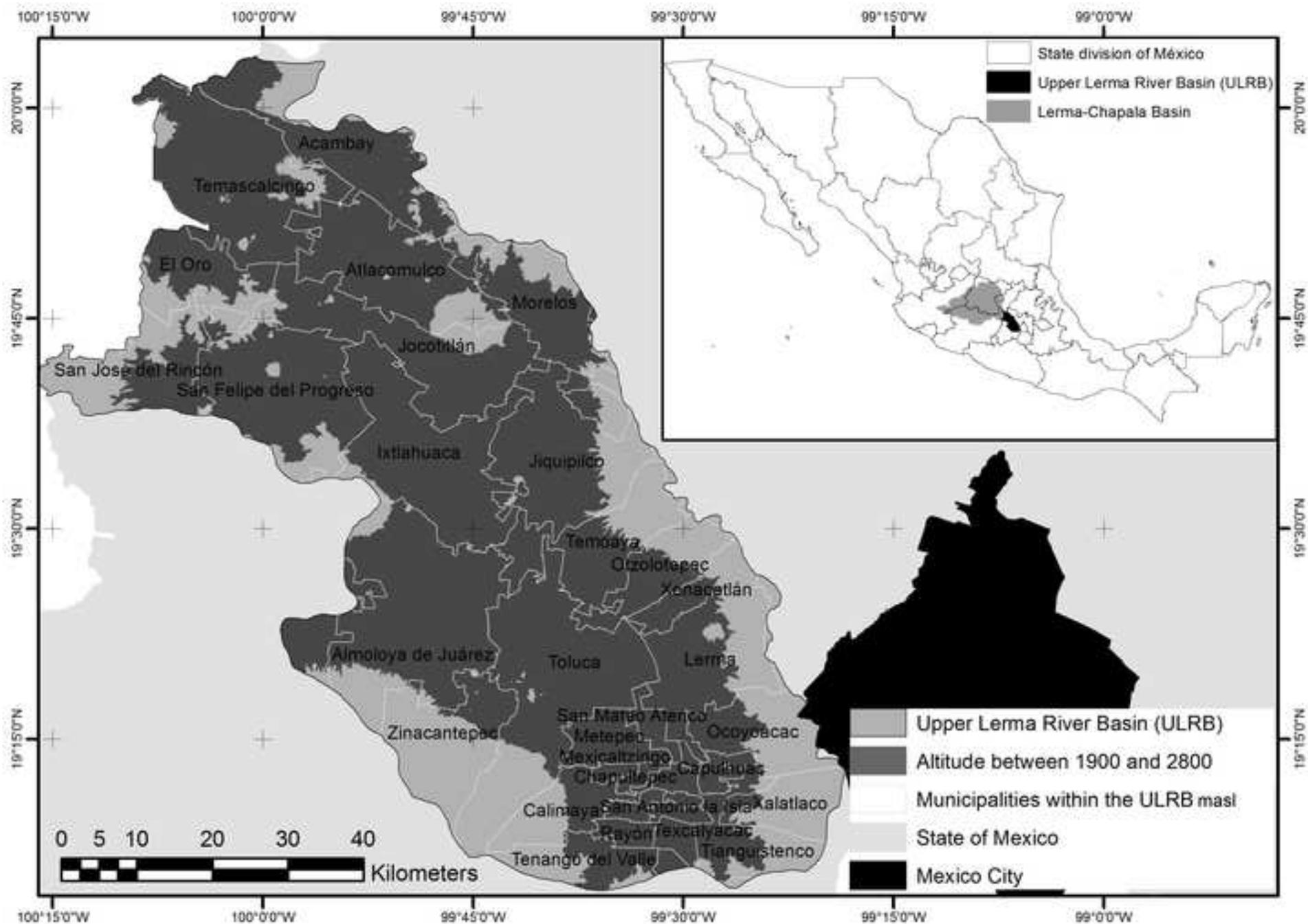


Figure2

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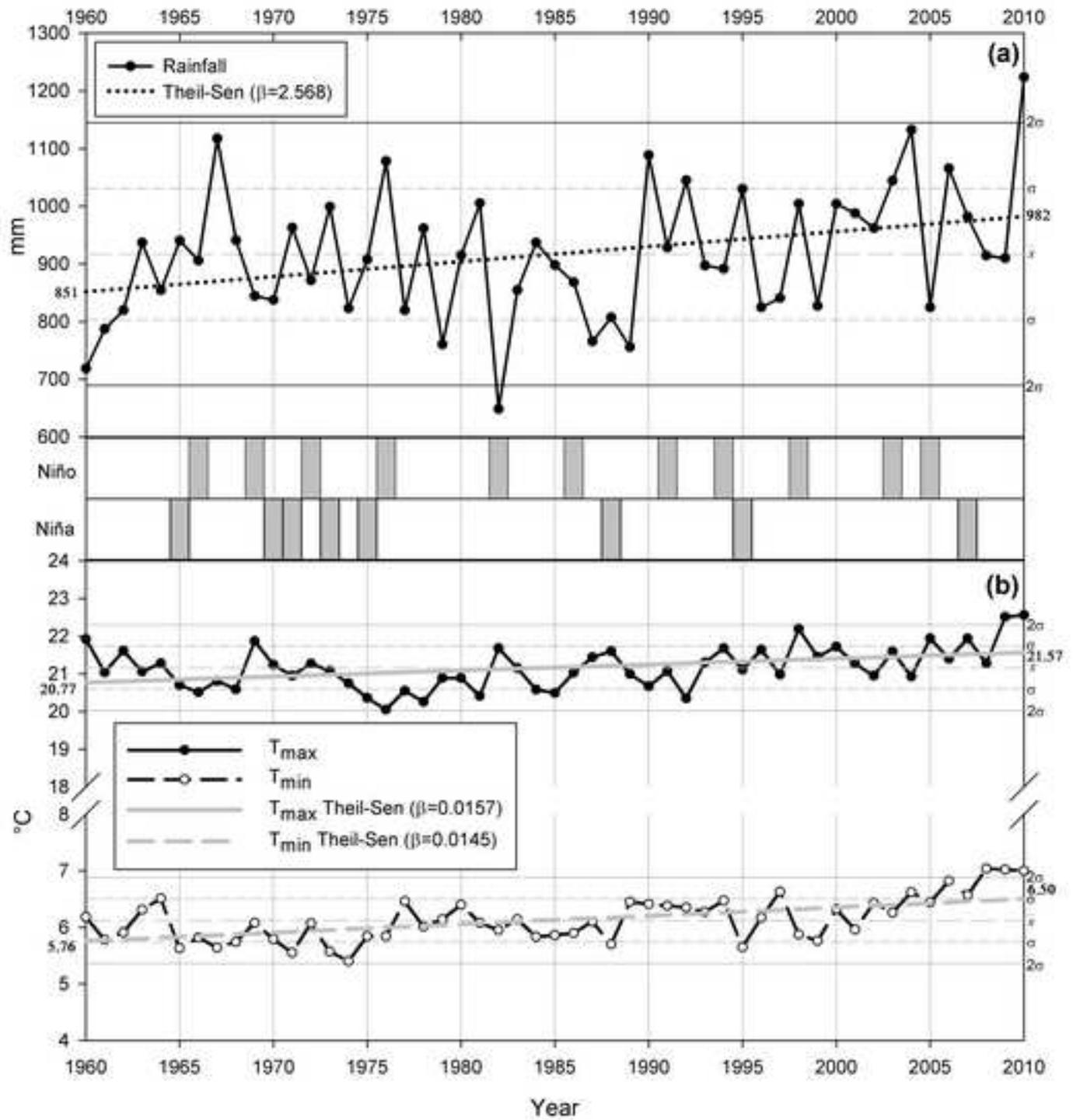


Figure3

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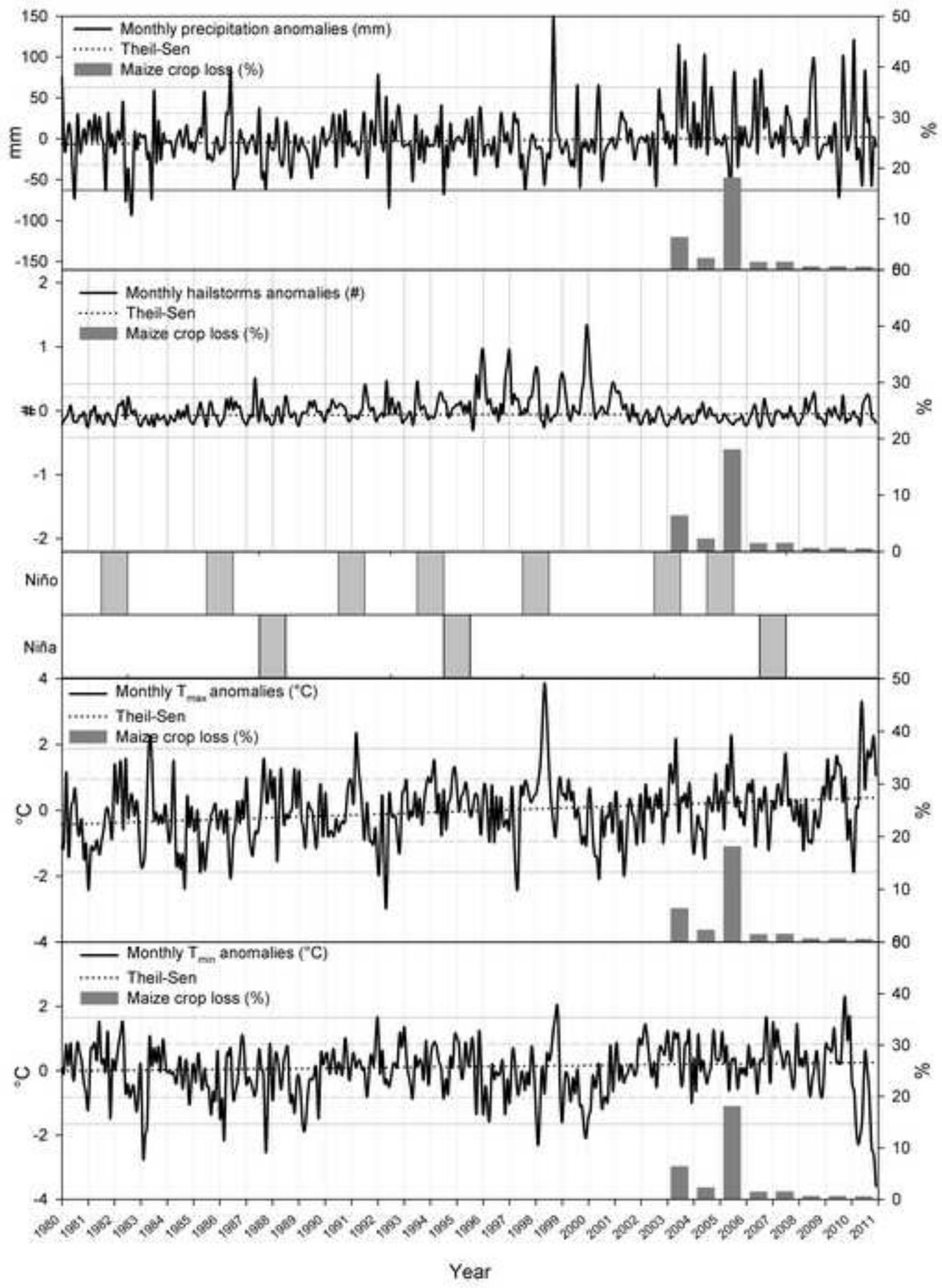
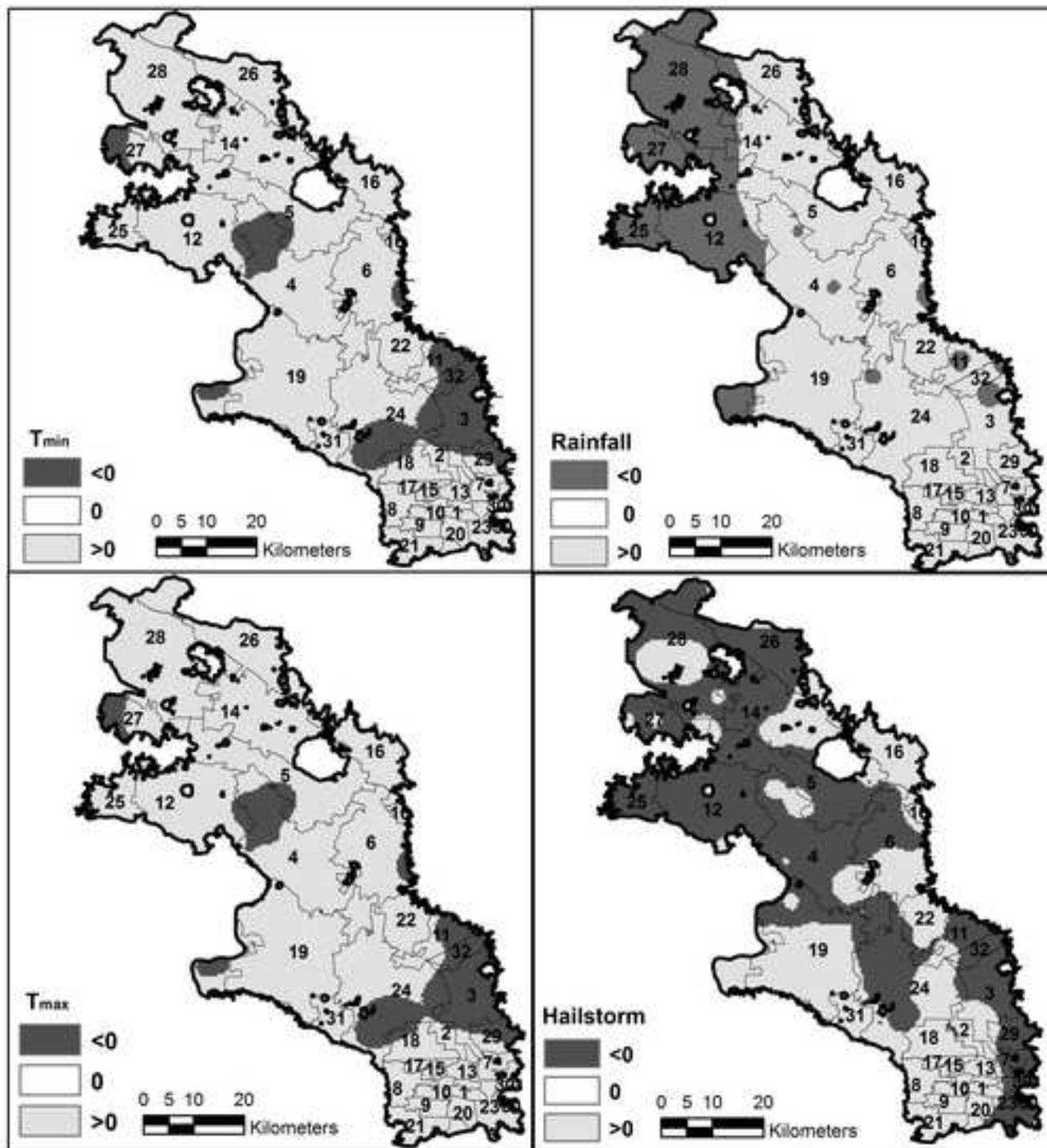


Figure4
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|--------------------|----------------------------|-----------------------|------------------------|
| 1 Almoloya del Río | 9 Rayón | 17 Mexicaltzingo | 25 San José del Rincón |
| 2 San Mateo Atenco | 10 San Antonio la Isla | 18 Metepec | 26 Acambay |
| 3 Lerma | 11 Otzolotepec | 19 Almoloya de Juárez | 27 El Oro |
| 4 Ixtlahuaca | 12 San Felipe del Progreso | 20 Texcalyacac | 28 Temascalcingo |
| 5 Jocotitlán | 13 Atizapán | 21 Tenango del Valle | 29 Ocoyoacac |
| 6 Jiquipilco | 14 Atlacomulco | 22 Temoaya | 30 Xalatlaco |
| 7 Capulhuac | 15 Chapultepec | 23 Tianguistenco | 31 Zinacantepec |
| 8 Calimaya | 16 Morelos | 24 Toluca | 32 Xonacatlán |

Figure5
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