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Abstract

Gelatinized native corn starch dispersion (GSD, 5g/100 mL water) was diluted with ethanol/water mixtures (EWM, 0.0, 5.0, 10.0 and 20.0 ml ethanol/ml water) to a final concentration of 2.0 g GSD/100 mL EWM. The different EWM are representative of the ethanol content of different typical alcoholic drinks. The GSD were subjected to digestion by porcine pancreas α -amylase at 37 °C for 90 min. The percentage of digested starch reduced as an inverse function of EWM concentration. For 20.0 ml ethanol/ml water mixture the digested starch percentage was reduced by about 50%. Log-of-slope (LOS) method was used for analyzing the digestion kinetics curve, showing the presence of two (fast and slow) time scales. The fast and slow digestion kinetics were linked to rapidly (RDS) and slowly (SDS) starch fractions. It was found that ethanol reduced the RDS fraction, while increasing the resistant starch (RS) fraction. FTIR analysis suggested that modification of the enzyme secondary structure is behind the inhibition of the α -amylase activity by ethanol solvation.

Keywords	Ethanol; starch; α -amylase; inhibition; starch digestion.
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Corresponding Author	J. Alvarez-Ramirez
Corresponding Author's Institution	Universidad Autonoma Metropolitana-Iztapalapa
Order of Authors	E.J. Vernon-Carter, J. Alvarez-Ramirez, Luis Bello-Perez, Isabel Reyes, Carmen Hernandez-Jaimes
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Inhibition of the amylolytic hydrolysis of starch by ethanol

E.J. Vernon-Carter^a, J. Alvarez-Ramirez^{a,*}, L.A. Bello-Perez^b, I. Reyes^c and C. Hernandez-Jaimes^c

^aDepartamento de Ingeniería de Procesos e Hidráulica. Universidad Autónoma Metropolitana-Iztapalapa. Apartado Postal 55-534, 09340 México City, México.

^bInstituto Politécnico Nacional, CEPROBI. Km 6 Carr. Yautepec-Jojutla, Calle Ceprobi No. 8, Apartado Postal 24, Yautepec, 62731. Mexico.

^cFacultad de Ciencias, Universidad Autónoma del Estado de México, Campus El Cerrillo, Toluca 50200, México.

Corresponding Author. J. Alvarez-Ramirez. Email address: jjar@xanum.uam.mx. Phone/fax: +52-55-58044934.

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Abstract

Gelatinized native corn starch dispersion (GSD, 5g/100 mL water) was diluted with ethanol/water mixtures (EWM, 0.0, 5.0, 10.0 and 20.0 ml ethanol/ml water) to a final concentration of 2.0 g GSD/100 mL EWM. The different EWM are representative of the ethanol content of different typical alcoholic drinks. The GSD were subjected to digestion by porcine pancreas α -amylase at 37 °C for 90 min. The percentage of digested starch reduced as an inverse function of EWM concentration. For 20.0 ml ethanol/ml water mixture the digested starch percentage was reduced by about 50%. Log-of-slope (LOS) method was used for analyzing the digestion kinetics curve, showing the presence of two (fast and slow) time scales. The fast and slow digestion kinetics were linked to rapidly (RDS) and slowly (SDS) starch fractions. It was found that ethanol reduced the RDS fraction, while increasing the resistant starch (RS) fraction. FTIR analysis suggested that modification of the enzyme secondary structure is behind the inhibition of the α -amylase activity by ethanol solvation.

Keywords: Ethanol, starch, α -amylase, inhibition, starch digestion.

50 **1. Introduction**

51 Starch is the dominant carbohydrate and energy source in the human diet. Starch is extracted from a
52 wide diversity of botanical sources, including cereals, tubers, and roots. The current knowledge of
53 the nutritional characteristics of starch shows that the bioavailability of the polysaccharide in foods
54 can exhibit wide variations. In fact, the digestibility properties of starch depend strongly on the
55 components (e.g., lipids, proteins, polyphenols, and solvents), cooking method and storage
56 conditions of the food product (Singh, Dartois, & Kaur, 2010; Camelo-Mendez, Agama-Acevedo,
57 Rosell, Perea-Flores, & Bello-Pérez, 2018). Starch is not homogeneously digested in the human
58 digestive tract (Magallanes-Cruz, Flores-Silva, & Bello-Perez, 2017). The heterogeneity in the
59 digestibility behavior of starch arises from the complex interaction between the different
60 components of a food matrix. It has been pointed out that the structural, compositional, and fine
61 chemical characteristics of a particular food have important effects in the enzymatic availability of
62 starch, and hence, the rate of the polysaccharide digestion (Bjorck, Granfeldt, Liljerberg, Tovar, &
63 Asp, 1994; Singh et al., 2010). Although starch is digested and absorbed in the small intestine, some
64 fractions are not involved in the process. In fact, a starch fraction is resistant to enzyme digestion,
65 passing practically intact through the small intestine to reach the large bowel. This fraction is the
66 so-called resistant starch, and is defined as the sum of starch and the products of starch degradation
67 not absorbed in the small intestine of healthy individuals (Asp, 1992). In this regard, dietary starch is
68 commonly classified in terms of nutritional characteristics, comprising rapidly digestible, slowly
69 digestible and indigestible/resistant fractions (Englyst, Kingman, & Cummings, 1992). A plethora
70 of studies have been carried out for elucidating the mechanisms behind the formation of indigestible
71 starch (Sajilata, Singhal, & Kulkarni, 2006), although the results are still controversial and unclear.
72 In particular, the importance of indigestible starch relies on the consideration that this fraction plays
73 an important role in the handling of dietetic diseases, including the so-called metabolic syndrome
74 (Johnston, Thomas, Bell, Frost, & Robertson, 2010).

75 Ethanol consumption has been important and has played an important role in human civilization
76 worldwide since antiquity (Mandelbaum, 1965). Alcoholic drinks include beer, red wine and
77 distilled spirits. Commonly, alcoholic drinking is accompanied by food ingestion. For instance, red
78 wine is widely consumed in Mediterranean regions aside with lunch and dinner. Ethanol, the major
79 component of alcoholic drinks, is likely to interact with food components and affect their digestion.
80 However, research results on this issue are scarce and poorly understood (Falck-Ytter &
81 McCullough, 2000). For instance, the effect of moderate intake of ethanol during a meal in type 2
82 diabetic patients, showed that ethanol had no effect on plasma glucose (Gin, Rigalleau, Caubet,
83 Masquelier, & Aubertin, 1999). On the other hand, it is known that ethanol inhibits the cellulolytic

84 activity in the enzymatic hydrolysis of cellulose material (Wu & Lee, 1997; Sun & Cheng, 2002;
85 Jönsson & Martín, 2016). The effect of ethanol in the activity of enzymes is related to the behavior
86 of proteins in water/organic co-solvent mixtures (Mozhaev et al., 1989). In fact, ethanol reduced the
87 enzymatic activity of α -chymotrypsin and laccase via denaturation mechanisms. It has been
88 postulated that hydrophilic solvents, like ethanol, tend to displace tightly bound water from the
89 enzyme molecule surface, provoking the decrease of the enzyme activity (Wang et al., 2016).

90 To the best of our knowledge, studies on the effect of ethanol on starch digestibility are still
91 lacking. Results in this line should provide valuable insights about the potential adverse effects of
92 alcoholic beverages in the nutritional features of starchy foods. In this regard, the aim of this work
93 was to characterize the inhibitory effect of ethanol on the *in vitro* digestibility of starch chains.

94

95 **2. Materials and methods**

96 *2.1. Materials*

97 Native corn starch (NCS, CAS number 9005-25-8, amylose content 25.3%, moisture content <
98 15%, pH 4.8; ash < 0.5%, protein < 0.1%), ethanol (CAS number 64-17-5, proof 200) and α -
99 amylase from porcine pancreas (CAS A3176, pH 5.5-8.0, 51-54 kDa, 300U/mL) were purchased
100 from Sigma-Aldrich (St. Louis, MO, USA). All water used in the experiments was deionized.

101

102 *2.3. Preparation of samples*

103 NCS was dispersed in water (5.0 g/100 mL water) and heated (95 °C, 20 min) with continuous mild
104 stirring conditions until complete gelatinization was achieved (GSD). The GSD was allowed to cool
105 down to room temperature (~20 °C). GSD was diluted in water/ethanol mixtures (EWM) with
106 different concentrations (0.0, 5.0, 10.0 and 20.0 mL ethanol/100 mL water) to 2.0 g GSD/100 mL
107 EWM. The resulting GSD in EWM were tagged as GSD_x, where x = concentration of EWM. The
108 EWM concentrations used represent the ethanol content of typical alcoholic beverages, such as beer
109 (~5.0%), red wine (~10.0-12.0%) and distilled spirits (~20-25%).

110

111 *2.3. Starch hydrolysis*

112 GSD_x hydrolysis was followed using the procedure proposed by Englyst et al. (1992), and slightly
113 modified by Hong et al. (2016). GSD_x were incubated with 2.5 nM (i.e., 0.126 g/ml of protein or
114 approximately 0.33 IU/ml) porcine pancreatic α -amylase at 37 °C for 120 min, and pH 6.8, in a
115 sealed dialysis bag to avoid ethanol vaporization. Samples were withdrawn at time intervals up to
116 120 min and transferred to an ice cold water bath to stop the reaction. The amylolysis of GSD_x in

117 the dialysate was measured colorimetrically and expressed as maltose equivalents. Data were
118 plotted as the degree of hydrolysis versus time curves.

119

120 2.4. Optical microscopy

121 Optical microscopy was used for illustrating the microstructure of the hydrolyzed starch granules.
122 To this end, an optical microscope (Olympus BX45, Olympus Optical Co. Ltd., Tokyo, Japan) was
123 used, and micrographs were captured with an image analyzer system (AxioCamERc5s camera and
124 Zen blue edition software, Carl Zeiss Microscopy GmbH, Göttingen, Germany). Selected
125 micrographs at 40× were presented.

126

127 2.5. Mathematical modeling of hydrolysis kinetics

128 Goñi, Garcia-Alonso and Saura-Calixto (1997) suggested that the kinetics curve of the starch
129 hydrolysis can be described by a first-order exponential decay of the form

$$130 \quad C(t) = C_{\infty} (1 - e^{-kt}) \quad (1)$$

131 Here, $C(t)$ is the concentration of digested starch at time t , C_{∞} is the limiting concentration for
132 long times, and k is a pseudo-first order rate constant. For ease of plotting, Eq. (1) is commonly
133 written as

$$134 \quad \ln \left[\frac{C_{\infty} - C(t)}{C_{\infty}} \right] = -kt \quad (2)$$

135 such that the rate constant k can be estimated as the slope of a plot of $\ln[(C_{\infty} - C(t))/C_{\infty}]$ versus
136 t . However, this approach requires the accurate knowledge of the limiting concentration C_{∞} . To
137 deal with this problem, Eq. (1) is expressed as a logarithmic form of the concentration derivative;
138 namely,

$$139 \quad \ln \left[\frac{dC}{dt} \right] = \ln [C_{\infty} k] - kt \quad (3)$$

140 In this way, the pseudo-first order rate constant k can be estimated as the slope of a plot of the
141 time-derivative of concentration versus time. For this reason, the use of Eq. (3) is known as the log-
142 of-slope (LOS) method (Butterworth et al., 2012). Finally, the limiting concentration C_{∞} can be
143 estimated from the intercept $\ln[C_{\infty} k]$. The application of Eq. (3) requires the time-derivative
144 dC/dt , which in practice can be estimated using backward finite differences on the kinetics curve.

145

146 2.6. FTIR analysis

147 FTIR analysis was conducted to characterize possible interactions between ethanol and α -amylase.
148 The FTIR spectrum of α -amylase dissolved in water/ethanol mixtures was obtained using a Bruker
149 spectrometer model Alpha (Bruker Optics Inc., Billerica, MA, USA). A spectrum of the empty cell
150 was used as background. The FTIR spectra were represented as the average of four hundred scans
151 in a range of 4000 to 400 cm^{-1} at a resolution of 4 cm^{-1} . All spectra were deconvoluted using
152 Gaussian and Lorentzian functions. In this case, the assumed line shape was Lorentzian with a half-
153 width of 15 cm^{-1} . The resolution enhancement factor was chosen as 1.5.

154

155 2.7. Data analysis

156 The data were expressed as means \pm SD. Statistical analysis of the results were subjected to analysis
157 of variance using the Statgraphics 7 statistical analysis system (Statistical Graphics Corp.
158 Manugistics Inc., Cambridge, MA, USA). When it was pertinent, significant differences ($p < 0.05$)
159 between means were detected with Tukey's test. Experiments were done in triplicate.

160

161 **3. Results and discussion**

162 Figure 1.a presents the behavior of the hydrolysis kinetics for the different GSD_x. The digested
163 starch after 90 min decreased as ethanol concentration increased (Figure 1.b), indicating that this
164 co-solvent had an adverse effect on the α -amylase enzyme activity. GSD_{0,0} (without ethanol)
165 exhibited a starch digestion of about 95% after 90 min, while for GSD_{20,0} the corresponding
166 digested starch was only \sim 50% after the same hydrolysis time. Figure 2.a illustrates the
167 morphology of GSD_{0,0} (time = 0.0 min) where insoluble remnants (i.e., ghosts) can be observed.
168 The effect of α -amylase on GSD_{0,0} morphology after 30 min of hydrolysis time, can be appreciated
169 by the disappearance of the ghosts, and the appearance of residual material with a spherical-like
170 geometry (Figure 2.b). Amylose and short-chain branched amylopectin chains are known to form
171 spherulites after hydrolysis (Cai & Shi, 2013). Given their well-defined morphology (A- and B-
172 Type), spherulites exhibit strong crystalline structures resisting the enzymatic hydrolysis. It is
173 apparent than the remnant material observed in Figure 2.b is composed by spherulites formed in the
174 hydrolysis process (Cai, Shi, Rong, & Hsiao, 2010; Cai & Shi, 2013). The presence of ethanol
175 limited the extent of enzymatic hydrolysis on GSD_{20,0}, an effect that is illustrated by figures 2.c
176 (40 \times) and 2.d (10 \times) for 60 min of hydrolysis time. Both, ghost remnants and spherical-like
177 structures can be observed. This partial destruction of the ghosts can be inputted to the possible
178 inactivation of the α -amylase by ethanol.

179 The LOS method described in Subsection 2.4 was used to describe mathematically the
 180 experimental kinetics curve. Figures 3.a to 3.d presents the LOS plots for the hydrolysis kinetics of
 181 GSD_x . The LOS results cannot be described by a unique straight line as a transition from high slope
 182 to small slope is presented at certain cross time t_c . It has been postulated that the transition in the
 183 LOS plot is evidence of a fraction that is digested more rapidly than the remainder of the starch
 184 (Butterworth, Warren, Grassby, Patel, & Ellis, 2012). Motivated by this, the LOS data was
 185 described as a piecewise linear function, with t_c as the transition time. An *ad hoc* Fortran®
 186 program was developed for least-squares estimation of the cross time t_c and the parameters (slopes
 187 and intersects) of the piecewise linear function. The red line in Figure 3 denotes the fitting function,
 188 showing that, in fact, the experimental data cannot be accurately described by a sole linear function.
 189 For convenience in the discussion, the estimated parameters were expressed in terms of a time-
 190 constant $\tau = k^{-1}$. Table 1 shows the estimated crosstime t_c and the fast τ_f and slow τ_s time-
 191 constants. The crosstime was ~ 5.56 min for $GSD_{0,0}$, and decreased as the concentration of ethanol
 192 increased. On the other hand, the fast time-constant was ~ 1.84 min for $GSD_{0,0}$, and decreased to
 193 ~ 1.16 min for $GSD_{20,0}$. In contrast, the slow time-constant increased with increasing ethanol
 194 concentrations, from ~ 17.82 min for $GSD_{0,0}$ to ~ 31.65 min for $GSD_{20,0}$, an effect that is likely linked
 195 to the progressive inhibition of the enzymatic activity by ethanol. The slow and fast time-constants
 196 reflect the time scale at which starch chains are enzymatically hydrolyzed by α -amylase. In this
 197 way, the rapidly digested fraction is digested about 10-15 times faster than the slowly digested
 198 fraction.

199 Based on the above arguments, one can propose a classification of the starch digested fractions
 200 in terms of the piecewise linear function used for fitting the LOS data. Within such scheme, the
 201 linear fitting for times smaller than the cross time would correspond with the rapidly digestible
 202 starch (RDS) fraction, while the linear fitting for time higher than the crosstime would correspond
 203 with the slowly digestible starch (SDS) fraction. The undigested starch would be assigned to the
 204 resistant starch (RS) fraction. Let b_f be the intercept of the linear fit in the fast time region. Then,
 205 the limiting concentration is given by $C_{\infty,f} = \tau_f e^{b_f}$. Similarly, for longer times one can obtain
 206 $C_{\infty,s} = \tau_s e^{b_s}$. Recall that $\tau_f = k_f^{-1}$ and $\tau_s = k_s^{-1}$ are the time constants for fast and slow time scales.
 207 If the concentration of digested starch is given as percentage of hydrolyzed starch, LOS-based
 208 estimates of the digestibility percentages are given as follows:

$$\begin{aligned}
RDS &= C_{\infty,f} \\
209 \quad SDS &= C_{\infty,s} - C_{\infty,f} \\
RS &= 100 - C_{\infty,s}
\end{aligned}
\tag{4}$$

210 The results obtained from the LOS plots in Figure 3 are given in Table 1. Thus, it may be observed
211 that the RDS fraction decreased from ~55.49% for GSD_{0,0} to 23.83% for GSD_{20,0}. On the other
212 hand, the SDS fraction was non-significantly affected for GSD_{0,0} to GSD_{10,0}, with only GSD_{20,0}
213 showing a significant decrease. In contrast, the RS fraction increased significantly as the GSD_x
214 ethanol concentration increased, an effect already observed in the kinetics displayed in Figure 1.
215 The RDS fraction is likely linked to chains leached out from the starch granules during the
216 gelatinization process. These chains are easily accessible for enzymatic hydrolysis. In fact, it has
217 been reported that α -amylase binds most readily to exposed α -glucan chains (Warren, Royall,
218 Gaisford, Butterworth, & Ellis, 2011). The reduction of the RDS fraction can be ascribed to the
219 inhibition of α -amylase by ethanol. Elseways, the SDS fraction can be linked to the insoluble
220 material (i.e., ghosts) remaining after gelatinization. Finally, the image in Figure 2.b suggests that
221 the RS fraction reflects the presence of strongly compact crystals, probably containing spherulites
222 (Cai & Shi, 2013).

223 FTIR analysis was carried out to gain insights on the inhibition mechanisms induced by ethanol
224 on the activity of α -amylase. Figure 4.a presents the FTIR spectrum of α -amylase dissolved in
225 ethanol/water mixtures. The spectrum was deflated by removing the background effect by water.
226 The wide band in the range 3750-2900 cm⁻¹ is attributed to O-H stretch induced by ethanol
227 molecules. The small peak at 2983 cm⁻¹ is characteristic of C-H stretch of alcohol. The Amide I
228 band centered at ~1650 cm⁻¹ can be linked to α -amylase (Soleimani, Khani, & Najafzadeh, 2012).
229 The small peaks at 1084 and 1044 cm⁻¹ can be attributed to C-O stretches by ethanol (Zhou, Wang,
230 Lin, Tian, & Sun, 2010). It is noted that the relative intensity of these peaks increased with the
231 concentration of ethanol. The presence of ethanol modifies the electrostatic environment of the α -
232 amylase (Wang et al., 2016), an effect that can be reflected in the secondary structure of the
233 enzyme. Deconvolution of the Amide I band was conducted for exploring changes in the secondary
234 structure of α -amylase. Figure 4.b illustrates the results of the least-squares numerical
235 deconvolution with four Gaussian functions. The peaks at ~1676 and ~1659 cm⁻¹ were ascribed to
236 protein aggregates and β -sheet structures, respectively. On the other hand, the peaks at ~1637 and
237 ~1614 cm⁻¹ were attributed to α -helix and β -turn structures, respectively (Carbonaro & Nucara,
238 2010). The distribution of the secondary structures of α -amylase is shown in Table 2. Ethanol
239 affected non-significantly the fraction of protein aggregates (~26.30-27.66%), while only the
240 highest ethanol concentration (20 ml ethanol/100 ml water) produced a significant decrease in α -

241 helix structures (~24.23-16.49%). The content of β -sheets decreased from 42.40% to 37.48%, with
242 ethanol concentrations of 10.0 and 20.0 ml ethanol/100 ml water producing significant decreases. In
243 contrast, the β -turns showed an important significant increase from 3.43% to about 11.89% with
244 increased ethanol concentration. The secondary structure plays an important role in the activity of
245 enzymes (Secundo, 2013). The results in Table 2 indicate that ethanol was likely to affect the
246 secondary structure of α -amylase, and hence the corresponding hydrolytic capacity on starch chains.
247 In fact, functional groups and the molecular structures of solvents define the microenvironment
248 surrounding the enzyme molecules and affect enzyme tertiary and secondary structure, influencing
249 enzyme catalytic properties (Wang et al., 2016). The results reported in the current study are in line
250 with previous reports indicating that the activity of enzyme in non-aqueous media is in general
251 lower than in water (Secundo & Carrea, 2003).

252

253 **4. Conclusions**

254 The effect of ethanol as co-solvent in the enzymatic activity of α -amylase on starch chains was
255 studied in this work. The inhibitory effect of ethanol hampers the starch bioavailability by reducing
256 the rate of enzymatic hydrolysis and increasing the fraction of undigested starch. FTIR analysis
257 suggested that the inhibition effect was caused by disruption of the secondary structure of α -
258 amylase as ethanol modifies the microenvironment surrounding enzyme surface. The results
259 reported in this work should provide valuable insights on the effects of alcoholic beverages in the
260 starch digestibility of food matrices.

261

262 **Authors declare no conflict of interests.**

263

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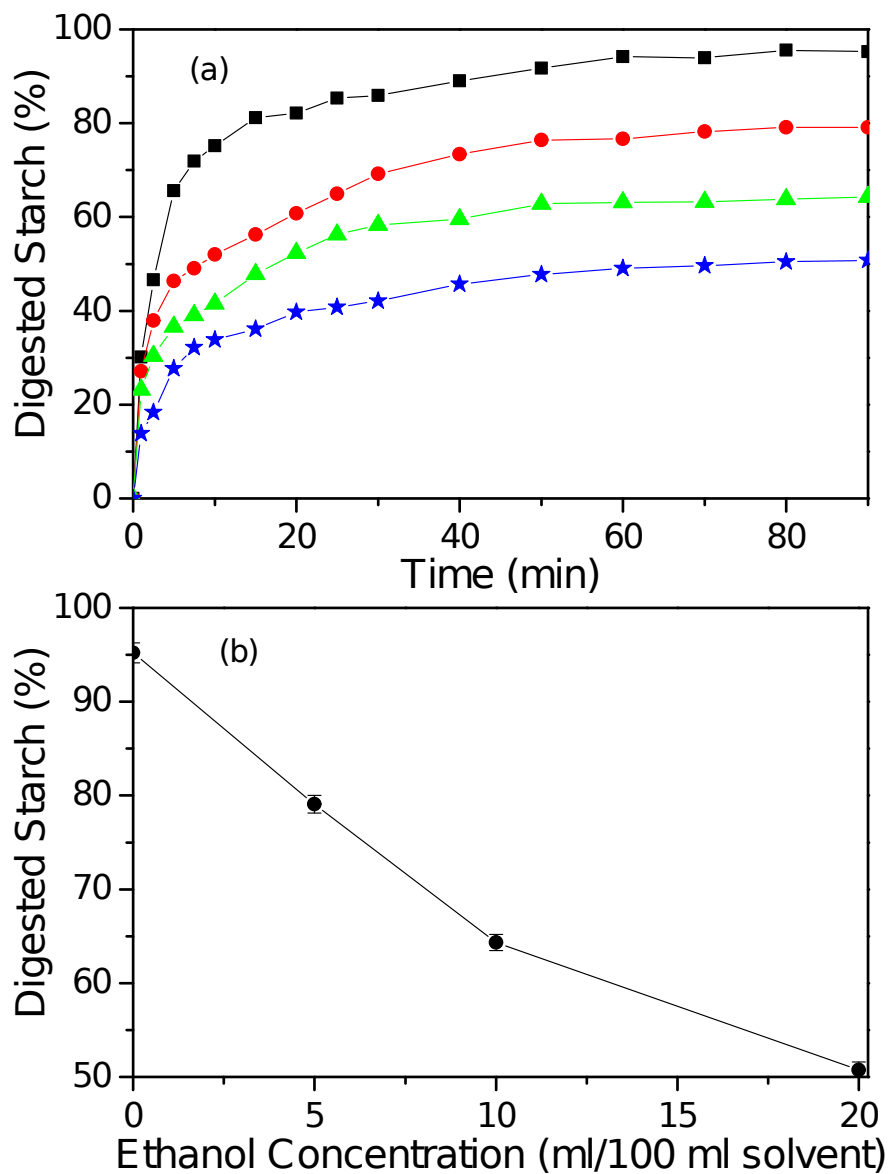
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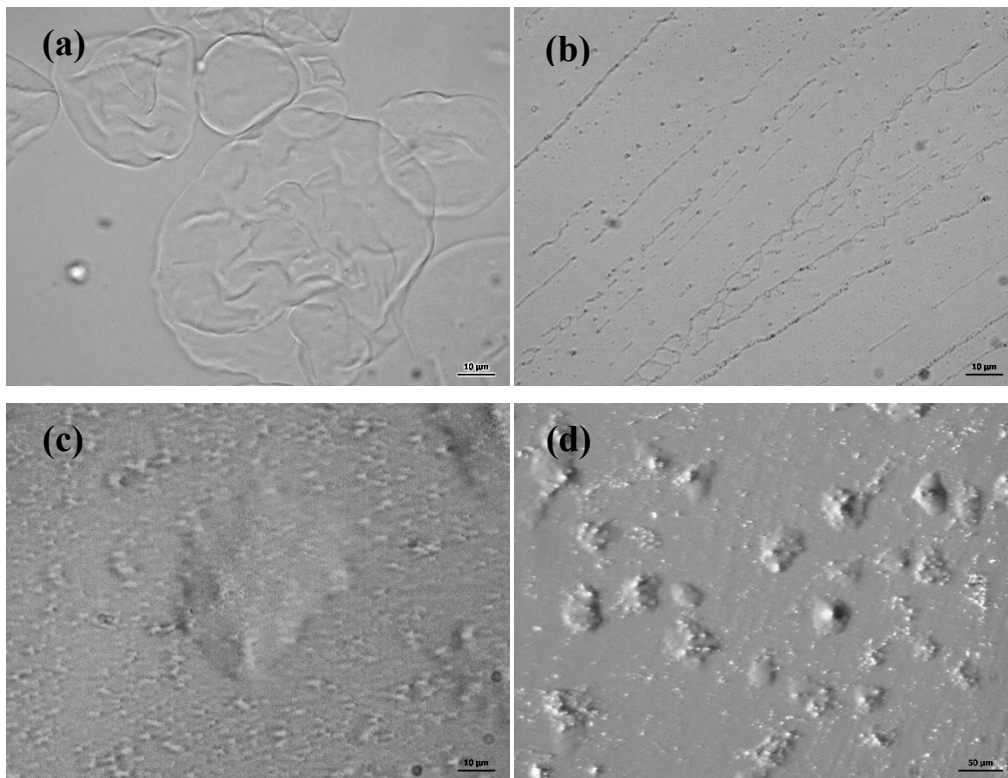
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Figure 1. (a) Kinetics of α -amylase hydrolysis of the different gelatinized starch dispersions (GSD_x), where $x = \text{ml ethanol}/100 \text{ ml water}$. Symbols: Square: $GSD_{0,0}$, Circle: $GSD_{5,0}$, Triangle: $GSD_{10,0}$, and Star: $GSD_{20,0}$. (b) GSD_x digestion after 90.

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347 **Figure 2.** Optical microscopy images (40x) of α -amylase hydrolysis of the different gelatinized
348 starch dispersions (GSD_x), where $x = \text{ml ethanol}/100 \text{ ml water}$ (hydrolysis time in min). (a)
349 $GSD_{0.0}$ (0.0 min), (b) $GSD_{0.0}$ (30 min), and (c) $GSD_{20.0}$ (60 min). The same as in image (c), but with
350 magnification 10x.

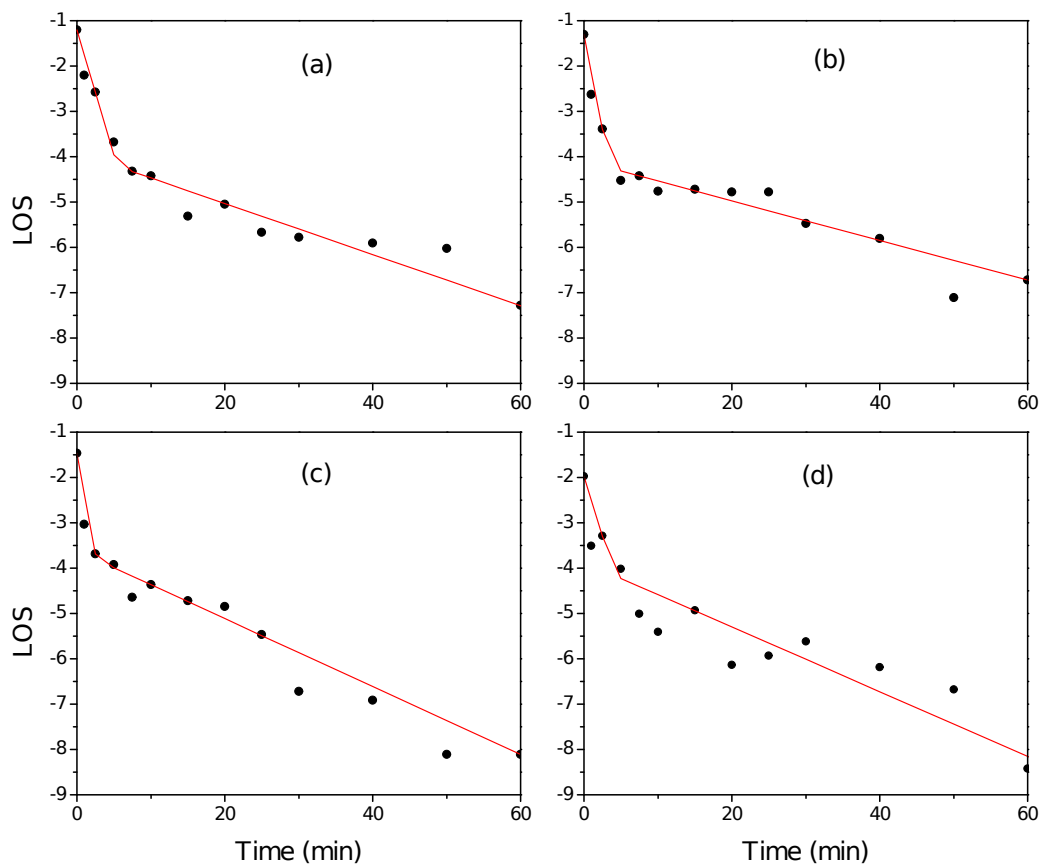
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360 **Figure 3.** LOS (log-of-slope) plots corresponding to the GSD_x hydrolysis kinetics exhibited in
361 Figure 1; $x = \text{ml ethanol/ml water}$ (hydrolysis time in min). (a) $GSD_{0.0}$. (b) $GSD_{5.0}$. (c) $GSD_{10.0}$. (d)
362 $GSD_{20.0}$. The red line denotes the piecewise linear fitting to the experimental data.

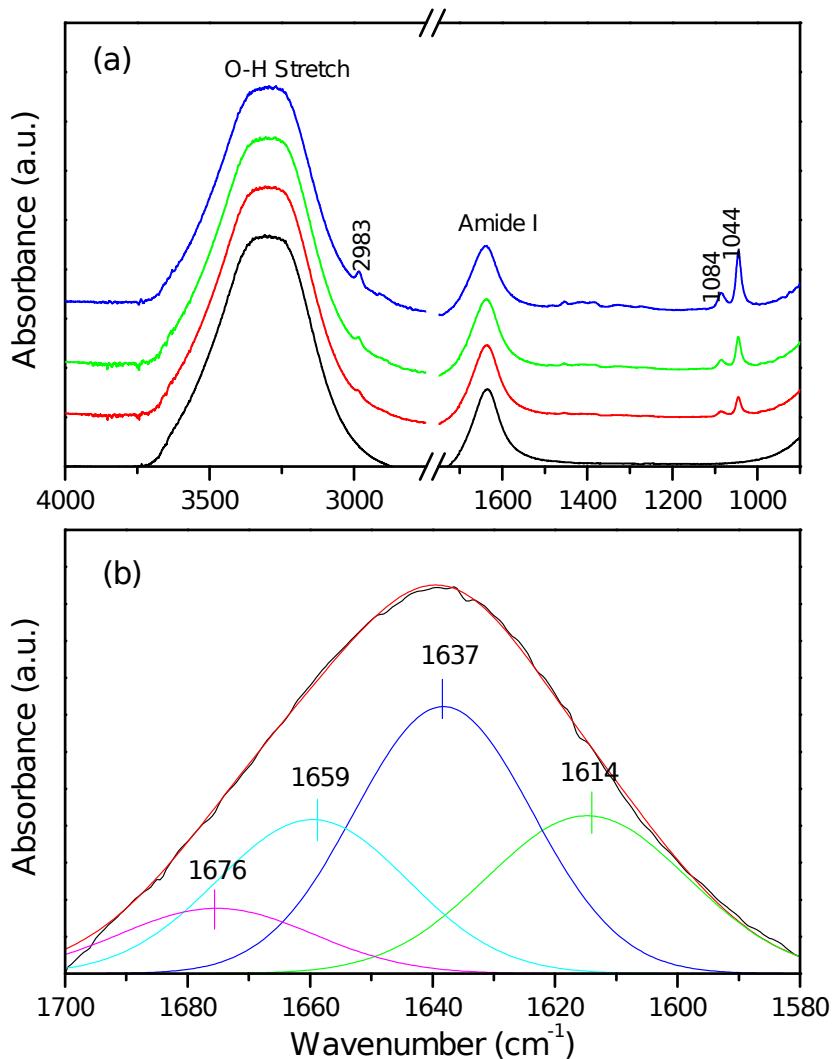
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369 **Figure 4.** (a) FTIR spectra of α -amylase dissolved in different ethanol/water mixtures (EWM_x),

370 where x = ml ethanol/ml water. Lines: Black, EWM_{0.0}. Red, EWM_{5.0}. Green, EWM_{10.0}. Blue,

371 EWM_{20.0}. (b) Example of deconvolution of the FTIR spectrum in the Amide I region.

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384 **Table 1.** LOS parameters and starch digestibility fractions of GSD_x.

GSD_x (2.0 g/100 ml)	τ_c (min)	τ_f (min)	τ_s (min)	RDS (%)	SDS (%)	RS (%)
GSD_{0.0}	5.56±0.25 ^a	1.84±0.11 ^a	17.82±1.15 ^d	55.49±2.27 ^a	35.85±1.89 ^a	8.64±0.45 ^d
GSD_{5.0}	3.53±0.22 ^b	1.20±0.09 ^b	22.85±0.93 ^c	35.39±2.02 ^b	35.07±1.13 ^a	29.53±0.76 ^c
GSD_{10.0}	2.64±0.24 ^c	1.12±0.08 ^{bc}	28.39±0.91 ^b	25.95±1.93 ^c	35.84±1.62 ^a	38.21±1.06 ^b
GSD_{20.0}	2.40±0.27 ^c	1.16±0.10 ^{bc}	31.65±1.13 ^a	23.83±1.85 ^c	28.48±1.64 ^b	47.74±1.16 ^a

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Different alphabets in columns indicate significant difference (p<0.05) between column values.

GSD_x = gelatinized starch dispersion dissolved in x = ml ethanol/100 ml water.

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Table 2. Secondary structure of the amylase dissolved in different EWM_x.

EWM_x	Aggregates (%)	β-sheets (%)	α-helix (%)	β-turns (%)
EWM_{0.0}	27.66±1.24 ^a	42.40±1.79 ^a	26.49±1.15 ^a	3.43±0.16 ^d
EWM_{5.0}	26.02±1.73 ^a	42.99±2.12 ^a	25.95±0.93 ^{ab}	5.03±0.21 ^c
EWM_{10.0}	26.71±1.41 ^a	38.32±1.53 ^b	26.41±0.91 ^a	8.54±0.32 ^b
EWM_{20.0}	26.30±1.85 ^a	37.48±1.64 ^{bc}	24.23±1.13 ^c	11.89±0.74 ^a

Different alphabets in columns indicate significant difference (p<0.05) between column values.

EWM_x = ethanol/water mixtures, x = ml ethanol/100 ml water.